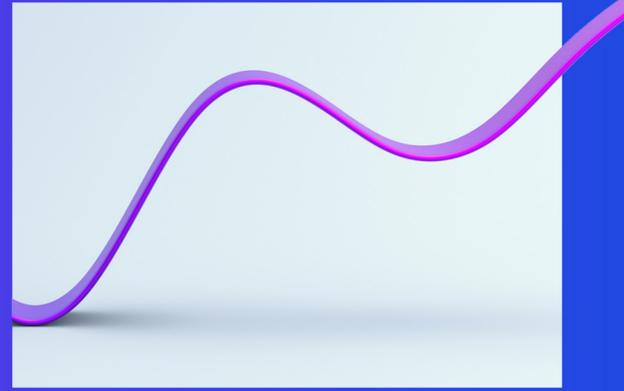




Forensic

A rapid diagnostic opportunity for portfolio companies



KPMG offers a diagnostic process to quickly evaluate a portfolio company’s fraud and risk exposure and uncover potential opportunities to identify, mitigate, and respond to threats—saving your organization time and money.

Identifying and mitigating threats

Our diagnostic process combines leading technology with customized support from industry-aligned professionals with significant expertise helping clients meet their business challenges, manage risk, and navigate the dangers of costly and disruptive litigation.

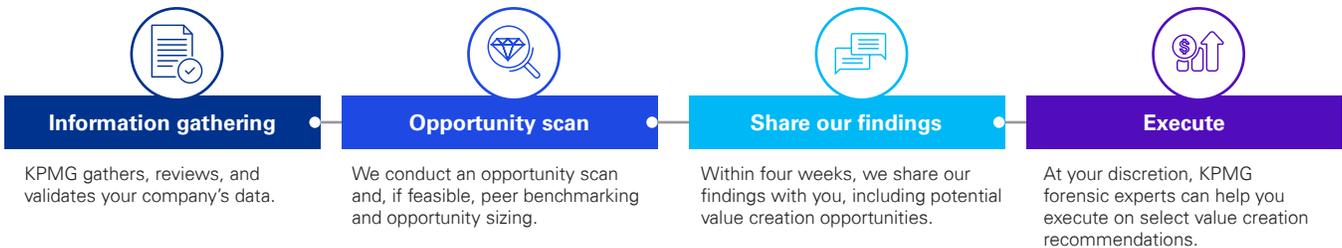
At your discretion, we can work with you to execute on select strategies identified through the diagnostic.

Key areas of focus where we assist firms and their portfolio companies include:

- Regulation and compliance services
- Fraud and misconduct
- Forensic technology

Our approach

Through an initial, light-touch diagnostic exercise, we can quickly identify potential fraud and risk mitigation opportunities and potential strategies within your company.



Deliverables from the forensic diagnostic include:

- Key observations and challenges identified during the rapid assessment
- Perspective on current state maturity versus leading practices
- High-level quantification of potential value creation opportunities
- Prioritized list of initiatives and preliminary roadmap
- Considerations and next steps

About our forensic services

Regulation and Compliance Services 	Fraud and Misconduct 	Forensic Technology 
<p>Whether building controls to support a new or improved business platform, or reacting to a regulatory issue, it is important for organizations to have comprehensive programs in place to meet the rigorous universe of state, federal, and international laws, regulations, internal company policies, and contracts. KPMG provides services around anti-money laundering (AML), healthcare and life sciences regulation, managing major construction capital expenditure, compliance transformation, and third-party risk management/integrity due diligence.</p>	<p>Having a reputation for integrity is crucial to safeguarding market confidence and public trust. Unfortunately, fraud and misconduct can seriously undermine such efforts, exposing an organization to legal, regulatory, or reputational damage. Our professionals help clients develop strategies to identify, mitigate, and respond to these risks in a variety of situations, particularly when the level of scrutiny is high. We also assist clients in investigating suspected fraud and misconduct, resolving disputes and litigation, and protecting against bribery and corruption.</p>	<p>Corporations face overwhelming amounts of electronically stored information—which can lead to complex issues and substantial costs when responding to litigation matters, government requests, and investigations. Where the need arises to preserve or otherwise handle data beyond the normal business function, we can help with forensic data identification and collection in response to requests for information for litigation and investigations. We also help clients understand what their data contains through our managed review services and sophisticated data analytics capabilities.</p>

Case study: Travel and entertainment expense (T&E) assessment



The challenge

KPMG was hired to perform a T&E assessment to identify any non-compliant T&E transactions and to identify whether similar “red flag” indicators may exist.



What KPMG did

KPMG worked with key client stakeholders to assess the entity’s operations and other risk factors that drove the scope and approach of the assessment.



Results:

- Performed a gap analysis of policies and procedures to identify areas which could be improved.
- Identified areas of potential risk to the entity and provided recommendations for remediation.
- Identified approximately \$20,000 of potential fraudulent transactions (out of total sample) in which the company could seek reimbursement.

Contact us

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